



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2  
11/16/2001  
Scor #  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,030	11/16/2001	Edward H. Sargent	115354.00104

CONFIRMATION NO. 7743

FORMALITIES LETTER



\*OC000000007142819\*

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

115354.0104  
PREVIOUSLY  
DOCKETED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$111.
  - \$27 for 3 total claims over 20.
  - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(a) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 546.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



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Customer Service Center

PART 1 - ATTORNEY/APPLICANT COPY

[illegible]

Docket No.



11534.00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Edward H. SARGENT

SERIAL NO:

09/988,030

ATTN:

APPLICATION BRANCH

CONFIRMATION NO:

7743

FILING DATE:

November 16, 2001

FOR:

DYNAMICALLY RECONFIGURABLE OPTICAL AMPLIFICATION ELEMENT

FILING OF DECLARATION UNDER 37 CFR 1.53(f)

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated November 30, 2001, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was not paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

BLANK ROME COMISKY & MCCAULEY LLP

David J. Edmondson  
Registration No. 35,126

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Date: January 30, 2002

RECEIVED 01/30/02



#3

PATENT  
ATTORNEY DOCKET NO.: 115354.00104

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Edward H. SARGENT ) Art Unit:  
Serial No.: 09/988,030 ) Confirmation No.: 7743  
Filed: November 16, 2001 )  
For: DYNAMICALLY RECONFIGURABLE OPTICAL )  
AMPLIFICATION ELEMENT )

SUBMISSION OF SUBSTITUTE DRAWINGS

Hon. Commissioner of Patents  
Washington, D.C. 20231

Sir:

The Applicants, through counsel, hereby submit four (4 ) sheets of drawings containing  
Figures 1a-4 in lieu of the drawings filed originally with this application on November 16, 2001.

The drawing informalities have been corrected as required by Notice to File Missing  
Parts of Nonprovisional Application.

Date: January 30, 2002

Respectfully submitted,

Edward H. SARGENT

By: David J. Edmondson  
Registration No. 35,126

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